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#### Client's ref:0290009/2001-12-04 Our ref:0424-6433-US/Final/Esmond/Kevin

### What is claimed is:

- 1. An aqueous polyurethane, prepared by forming a
   2 hydrophilic prepolymer by reacting components (a), (b), and
   3 (c) in the absence of aliphatic or cycloaliphatic
- 4 diisocyanates, and chain-extending the hydrophilic
- 5 prepolymer with component (d):
  - (a) 10-40 wt% of an aromatic diisocyanate;
- 7 (b) 1-15 wt% of a compound containing active hydrogen 8 and a hydrophilic group or a group capable of forming 9 hydrophilicity;
- 10 (c) 30-80 wt% of a polyol; and
- 11 (d) 0.1-5 wt% of a chain extender having active 12 hydrogen.
  - 2. The aqueous polyurethane as claimed in claim 1, wherein the aromatic diisocyanate contains at least one aromatic ring of phenyl, biphenyl, or naphthyl.
  - 3. The aqueous polyurethane as claimed in claim 1, wherein the aromatic diisocyanate is selected from the group consisting of the compounds of formula (I) and (II) and the mixture thereof:

$$R_1$$
 $OCN$ 
 $R_2$ 
 $CH_2$ 
 $R_3$ 
 $R_3$ 

7 (I) (II)

8 wherein  $R_1$  is H or  $C_{1-6}$  alkyl; each of  $R_2$  and  $R_3$ ,
9 independently, is H,  $C_{1-6}$  alkyl,  $C_{1-6}$  alkoxyl, or  $C_6$  aryl; and
10 n is an integer of 0-3.

- 4. The aqueous polyurethane as claimed in claim 1,
- 2 wherein the aromatic diisocyanate comprises toluene
- 3 diisocyanate (TDI).
- 1 5. The aqueous polyurethane as claimed in claim 1,
- 2 wherein the aromatic diisocyanate comprises p-phenylene
- 3 diisocyanate (PPDI).
- 1 6. The aqueous polyurethane as claimed in claim 1,
- 2 wherein the aromatic diisocyanate comprises 4,4'-
- 3 diphenylmethane diisocyanate (MDI).
- 7. The aqueous polyurethane as claimed in claim 1,
- 2 wherein the aromatic diisocyanate comprises p,p'-bisphenyl
- 3 diisocyanate (BPDI).
- 1 8. The aqueous polyurethane as claimed in claim 1,
- 2 wherein the aromatic diisocyanate comprises a mixture of a
- 3 diisocyanate monomers and dimers or trimers thereof.
- 9. The aqueous polyurethane as claimed in claim 1,
  - wherein the aromatic diisocyanate comprises a mixture of (a1)
- 3 TDI or PPDI and (a2) MDI or BPDI, and (a1) constitutes at
- 4 least 30 mol% of the mixture.
- 1 10. The aqueous polyurethane as claimed in claim 1,
- 2 wherein the aromatic diisocyanate comprises a mixture of (a1)
- 3 TDI or PPDI and (a2) dimers or trimers of (a1), and (a1)
- 4 constitutes at least 30 mol% of the mixture.
- 1 11. The aqueous polyurethane as claimed in claim 1,
- 2 wherein the polyol has a number-average molecular weight of
- 3 about 200-6,000.

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- 1 12. The aqueous polyurethane as claimed in claim 1.,
- 2 wherein the polyol is selected from the group consisting of
- 3 polyester polyols, polyether polyols, polycarbonate polyols,
- 4 polycaprolactone polyols, polyacrylate polyols, and mixtures
- 5 thereof.
- 1 13. The aqueous polyurethane as claimed in claim 1,
- 2 wherein (b) the compound containing active hydrogen is
- 3 capable of forming a hydrophilic group selected from the
- 4 group consisting of -COO', -SO3', N'R4 where R is alkyl, -
- 5 (CH<sub>2</sub>CH<sub>2</sub>O) -, and mixtures thereof.
- 1 14. The aqueous polyurethane as claimed in claim 1,
- 2 wherein (b) the compound containing active hydrogen is
  - selected from the group consisting of dimethylol propionic
- 4 acid (DMPA), .dimethylol butanoic acid (DMBA), polyethylene
- 5 oxide glycol, bis(hydroxylethyl) amine, sodium 3-
- 6 bis(hydroxyethyl) aminopropanesulfonate, and mixtures
- 7 thereof.
- 1 15. The aqueous polyurethane as claimed in claim 1,
- 2 wherein the chain extender is a diamine, triamine, or
- 3 tetraamine.
- 1 16. The aqueous polyurethane as claimed in claim 1,
- 2 wherein the chain extender is selected from the group
- 3 consisting of  $H_2N-(CH_2)_m-NH_2$  where m is an integer of 0-12,
- 4 methyl-1,5-pentamethylene diamine, diethylene triamine
- 5 (DETA), and triethylene tetraamine (TETA).
- 1 17. A dried film of the aqueous polyurethane as claimed
- 2 in claim 1, which exhibits a tensile strength above 320
- 3 kg/cm<sup>2</sup> and an ultimate elongation of above 320%.

- 18. An aqueous polyurethane, prepared by
- (A) first reacting (a) 10-40 wt% of an aromatic diisocyanate with (b) 1-15 wt% of a compound containing active hydrogen and a hydrophilic group or a group gapable of forming hydrophilicity, to form a diisocyanate-terminated compound containing a hydrophilic group or a group capable of forming hydrophilicity;
- (B) then reacting the diisocyanate-terminated compound with (c) 30-80 wt% of a polyol to form a prepolymer containing a hydrophilic group or a group capable of forming hydrophilicity, and optionally neutralizing the prepolymer;
- (C) dispersing the prepolymer in water to form an aqueous dispersion; and
- (D) chain-extending the dispersed prepolymer to obtain an aqueous polyurethane dispersion by adding thereto (d) 0.1-5 wt% of a chain extender when the aqueous dispersion has an NCO-content between about 0.8-8.0 wt%.
- 19. The aqueous polyurethane as claimed in claim 18, wherein the step (A) is conducted at a temperature of about  $40-90^{\circ}$  C.
- 20. The aqueous polyurethane as claimed in claim 18, wherein the aromatic diisocyanate is selected from the group consisting of the compounds of formula (I) and (II) and the mixture thereof:

NCO
$$R_1$$
 $CH_2$ 
 $R_3$ 

OCN
 $R_2$ 
 $CH_2$ 
 $R_3$ 

(II)

- wherein  $R_1$  is H or  $C_{1-6}$  alkyl; each of  $R_2$  and  $R_3$ ,
- 9 independently, is H,  $C_{1-6}$  alkyl,  $C_{1-6}$  alkoxyl, or  $C_6$  aryl; and
- 10 n is an integer of 0-3.
  - 1 21. The aqueous polyurethane as claimed in claim 18,
  - 2 wherein the aromatic diisocyanate comprises toluene
  - 3 diisocyanate (TDI).
  - 1 22. The aqueous polyurethane as claimed in claim 1.8,
  - 2 wherein the aromatic diisocyanate comprises p-phenylene
  - 3 diisocyanate (PPDI).
  - 1 23. The aqueous polyurethane as claimed in claim 18,
  - 2 wherein the aromatic diisocyanate comprises 4,4'-
  - 3 diphenylmethane diisocyanate (MDI).
- 1 24. The aqueous polyurethane as claimed in claim 18,
- 2 wherein the aromatic diisocyanate comprises p,p'-bisphenyl
- 3 diisocyanate (BPDI).
- 1 25. The aqueous polyurethane as claimed in claim 18,
- 2 wherein the aromatic diisocyanate comprises a mixture of a
- 3 diisocyanate monomers and dimers or trimers thereof.
- 1 26. The aqueous polyurethane as claimed in claim 18,
- 2 wherein the aromatic diisocyanate comprises a mixture of (a1)
- 3 TDI or PPDI and (a2) MDI or BPDI, and (a1) constitutes at
- 4 least 30 mol% of the mixture.
- 1 27. The aqueous polyurethane as claimed in claim 18,
- 2 wherein the aromatic diisocyanate comprises a mixture of (a1)
- 3 TDI or PPDI and (a2) dimers or trimers of (a1), and (a1)
- 4 constitutes at least 30 mol% of the mixture.

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- 28. The aqueous polyurethane as claimed in claim 18, wherein the polyol has a number-average molecular weight of about 200-6,000.
- 29. The aqueous polyurethane as claimed in claim 18, wherein the polyol is selected from the group consisting of polyester polyols, polyether polyols, polycarbonate polyols, polycaprolactone polyols, polyacrylate polyols, and mixtures thereof.
- 30. The aqueous polyurethane as claimed in claim 18, wherein (b) the compound containing active hydrogen is capable of forming a hydrophilic group selected from the group consisting of -COO<sup>-</sup>, -SO<sub>3</sub><sup>-</sup>, N<sup>+</sup>R<sub>4</sub> where R is alkyl, (CH<sub>2</sub>CH<sub>2</sub>O) -, and mixtures thereof.
  - 31. The aqueous polyurethane as claimed in claim 18, wherein (b) the compound containing active hydrogen is selected from the group consisting of dimethylol propionic acid (DMPA), dimethylol butanoic acid (DMBA), polyethylene oxide glycol, bis(hydroxylethyl) amine, sodium 3-bis(hydroxyethyl) aminopropanesulfonate, and mixtures thereof.
- 32. The aqueous polyurethane as claimed in claim 18, wherein the chain extender is a diamine, triamine, or tetraamine.
  - 33. The aqueous polyurethane as claimed in claim 18, wherein the chain extender is selected from the group consisting of  $H_2N-(CH_2)_m-NH_2$  where m is an integer of 0-12, methyl-1,5-pentamethylene diamine, diethylene triamine (DETA), and triethylene tetraamine (TETA).

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- 34. A dried film of the aqueous polyurethane as claimed 1 2 in claim 18, which exhibits a tensile strength above 320 3 kg/cm<sup>2</sup> and an ultimate elongation of above 320%.
- 35. A method of making an aqueous polyurethane 1 2 dispersion, comprising the steps of:
- 3 (A) first reacting (a) 10-40 wt% of an aromatic diisocyanate with (b) 1-15 wt% of a compound containing 4 active hydrogen and a hydrophilic group or a group capable 5 6 of forming hydrophilicity, to form a diisocyanate-terminated 7 compound containing a hydrophilic group or a group capable of forming hydrophilicity; 8
  - (B) then reacting the disocyanate-terminated compound with (c) 30-80 wt% of a polyol to form a prepolymer containing a hydrophilic group or a group capable of forming hydrophilicity, and optionally neutralizing the prepolymer;
  - (C) dispersing the prepolymer in water to form an aqueous dispersion; and
  - (D) chain-extending the dispersed prepolymer to obtain an aqueous polyurethane dispersion by adding thereto (d) 0.1-5 wt% of a chain extender when the aqueous dispersion has an NCO-content between about 0.8-8.0 wt%.
- 36. The method as claimed in claim 35, wherein the step 1 (A) is conducted at a temperature of about 40-90° C. 2
- 37. The method as claimed in claim 35, wherein the 1 2 aromatic diisocyanate is selected from the group consisting 3 of the compounds of formula (I) and (II) and the mixture thereof:

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7 (I) (II)

- wherein  $R_1$  is H or  $C_{1-6}$  alkyl; each of  $R_2$  and  $R_3$ ,

  independently, is H,  $C_{1-6}$  alkyl,  $C_{1-6}$  alkoxyl, or  $C_6$  aryl; and

  n is an integer of 0-3.
  - 1 38. The method as claimed in claim 35, wherein the 2 aromatic diisocyanate comprises toluene diisocyanate (TDI).
  - 39. The method as claimed in claim 35, wherein the aromatic diisocyanate comprises p-phenylene diisocyanate (PPDI).
  - 1 40. The method as claimed in claim 35, wherein the 2 aromatic diisocyanate comprises 4,4'-diphenylmethane 3 diisocyanate (MDI).
  - 1 41. The method as claimed in claim 35, wherein the 2 aromatic diisocyanate comprises p,p'-bisphenyl diisocyanate 3 (BPDI).
  - 1 42. The method as claimed in claim 35, wherein the 2 aromatic diisocyanate comprises a mixture of a diisocyanate 3 monomers and dimers or trimers thereof.
  - 1 43. The method as claimed in claim 34, wherein the 2 aromatic diisocyanate comprises a mixture of (a1) TDI or 3 PPDI and (a2) MDI or BPDI, and (a1) constitutes at least 30 4 mol% of the mixture.
  - 1 44. The method as claimed in claim 35, wherein the 2 aromatic diisocyanate comprises a mixture of (a1) TDI or

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- 3 PPDI and (a2) dimers or trimers of (a1), and (a1)
- 4 constitutes at least 30 mol% of the mixture.
- 1 45. The method as claimed in claim 35, wherein the
- 2 polyol has a number-average molecular weight of about 200-
- 3 6,000.

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- 1 46. The method as claimed in claim 35, wherein the
- 2 polyol is selected from the group consisting of polyester
- 3 polyols, polyether polyols, polycarbonate polyols,
- 4 polycaprolactone polyols, polyacrylate polyols, and mixtures
- 5 thereof.
- 1 47. The method as claimed in claim 35, wherein (b) the
- 2 compound containing active hydrogen is capable of form:ng a
- 3 hydrophilic group selected from the group consisting of -
- 4 COO, -SO3, N+R4 where R is alkyl, -(CH2CH2O)-, and mixtures
- 5 thereof.
- 1 48. The method as claimed in claim 35, wherein (b) the
- 2 compound containing active hydrogen is selected from the
- 3 group consisting of dimethylol propionic acid (DMPA),
- 4 dimethylol butanoic acid (DMBA), polyethylene oxide glycol,
- 5 bis(hydroxylethyl) amine, sodium 3-bis(hydroxyethyl)
- 6 aminopropanesulfonate, and mixtures thereof.
- 1 49. The method as claimed in claim 18, wherein the
- 2 chain extender is a diamine, triamine, or tetraamine.
- 1 50. The method as claimed in claim 18, wherein the
- 2 chain extender is selected from the group consisting of H2N-
- 3  $(CH_2)_m$ -NH<sub>2</sub> where m is an integer of 0-12, methyl-1,5
- 4 pentamethylene diamine, diethylene triamine (DETA), and
- 5 triethylene tetraamine (TETA).